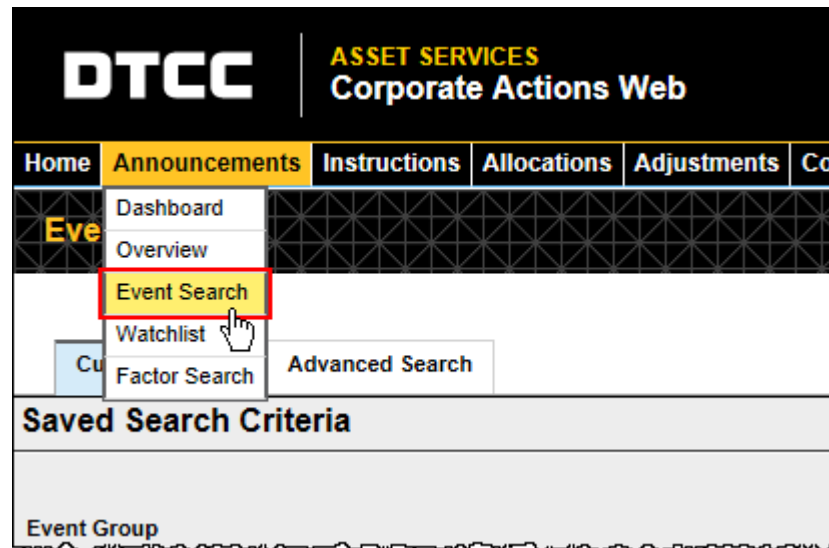


You can use CA Web's **Advanced Search** function to obtain real-time information for Corporate Action events over the T+2 conversion period. You can then export your search to Excel to create a printable report.

Be sure to keep performing multiple searches throughout the day to ensure that you have the most recent event information.

Performing an Advanced Search

- 1 On the menu bar, hover your mouse over **Announcements** and click **Event Search**.



Menu path for the Search function

- 2 Click the **Advanced Search** tab.

The screenshot shows the DTCC Asset Services Corporate Actions Web interface. At the top, there is a navigation menu with links for Home, Announcements, Instructions, Allocations, Adjustments, and Contact Us. The current page is titled 'Event Search'. Below the navigation, there is a search bar with a 'Print' button and a 'CUSIP' field. The 'Advanced Search' tab is highlighted with a red box. Below the search bar, there is a 'Saved Search Criteria' section with a 'Load' button. The search criteria section includes the following fields and options:

- Event Group: Redemptions Reorganizations
- CA Identifier:
- CUSIP:
- Event Security Description Starts with:
- Event/Sub Type: (Not Applicable)
- Limit to: Position
- Buttons: Search, Reset
- Save This Search:

At the bottom of the page, there is a footer with the text: © 2017 The Depository Trust & Clearing Corporation | Legal Information | Privacy Policy

Event Search landing page

- 3 Select your date criteria on the Advanced Search page.

Note: The **Date Criteria** fields drive the search that covers the conversion period.

- 3a Select the date type:
- For Distributions: select the **Ex Date**.
 - For Reorganizations: select the **Effective Date** or **DTC Instruction Expiration Date**.
 - Redemption events are not affected by the T+2 Conversion.

The screenshot displays the DTCC Corporate Actions Web Advanced Search interface. Key elements include:

- Event Group:** Distributions, Redemptions, Reorganizations.
- CA Identifier:** [Text input field]
- CUSIP:** [Text input field]
- Event Security Description Starts with:** [Text input field]
- Asset Type:** [Dropdown menu]
- Country of Incorporation:** [Dropdown menu]
- Event/Sub Type:** [Dropdown menu] (Not Applicable)
- Composite Record Status:** AP, CA, CN, DE, All
- DTC Mandatory / Voluntary:** Mandatory, Mandatory with Option, Voluntary, None Provided, All
- Date Criteria (Select a date range):** Ex Date: [09/01/2017] + [7] Days. A red box highlights this section, and a red arrow points to the '7 Days' field with a warning icon.
- System Date Criteria (Select a date range):** [Dropdown menu]
- Limit to:** Watchlist [Dropdown menu]
- Search/Reset:** Modified Yesterday or Today, Active, Processed at DTC, Position. **Search** and **Reset** buttons.

Advanced Search: Distribution Events

- 3b** Select the date range:
- Select **9/1/2017** as the Start Date.
 - Select the **+** sign.
 - Type **8** in the **Days** field.
- 4** Select any other search criteria relevant to the information you need.
- For the Composite Record Status, select **AP, CA, or All**.
 - For Reorganizations, you can narrow your event search to **Mandatory, Voluntary, or Voluntary with Options**.
- Leave **All** selected to receive a full report.

Advanced Search: Reorganization Events

5 Click **Search**.

The Search Results page displays a maximum of 3000 results, current as of the time you executed your search.

The screenshot shows the DTCC Asset Services Corporate Actions Web interface. At the top, there is a navigation bar with links for Home, Announcements, Instructions, Allocations, Adjustments, and Contact Us. The user is logged in as user@test.com. The main content area is titled "Event Search Results" and displays a table of search results. The table has columns for CA ID, CUSIP, Ticker, Event Security Description, Event Type, Sub Event Type, Asset Type, DTC M/V, Country of Incorporation, Event Status, Match Date, and Ex I. The "Export to Excel" button is highlighted with a red box. The page shows "Displaying 1 to 50 of 417 items" and "Page 1 of 9".

CA ID	CUSIP	Ticker	Event Security Description	Event Type	Sub Event Type	Asset Type	DTC M/V	Country of Incorporation	Event Status	Match Date	Ex I
105746317	647581107	EDU	NEW ORIENTAL EDUCATION & TECHNOLOGY GROUP INC.	Special Dividend		110	M	CN	AP	Oct-06-2017	Sep
104988327	92829B101	VGI	VIRTUS GLOBAL MULTI-SECTOR INCOME FD	Cash Dividend	Opt Out (DTC only)	761	C	US	CA	Sep-11-2017	Sep
104988330	92835W107	ZTR	VIRTUS GLOBAL DIVIDEND & INCOME FUND INC	Cash Dividend	Opt Out (DTC only)	010	C	US	CA	Sep-11-2017	Sep
105259696	380672105	GPINY	GOLD PEAK INDS HLDGS LTD	Cash Dividend		110	M	US	CA	Sep-11-2017	Sep
105277944	168615102	CHS	CHICO'S FAS INC	Cash Dividend	DRIP (DTC only)	010	C	US	CA	Sep-11-2017	Sep
105474064	902952100	GROW	U.S. GLOBAL INVESTORS	Cash Dividend		010	M	US	AP	Sep-11-2017	Sep
105486946	674599105	OXY	OCCIDENTAL PETROLEUM CORP.	Cash Dividend		010	M	US	AP	Sep-11-2017	Sep
105747471	552953101	MGM	MGM GRAND, INC.	Cash Dividend		010	M	US	AP	Sep-11-2017	Sep
105800069	296056104	ESCA	ESCALADE INC	Cash Dividend		010	M	US	AP	Sep-11-2017	Sep
105806318	41043E102	HNLGY	HANG LUNG GROUP LIMITED SPONSORED ADR +	Cash Dividend		110	M	US	CA	Sep-11-2017	Sep

Event Search Results page

Exporting your Search Results to Excel

Note that when you perform a search, only the first 3000 results are displayed on the Results page.

To view more than 3000 results or to create a report of your search results, export your results to Excel.

When you export your results to Excel, you will export the full list of your results.

Note: Clicking **Print** will print *only* the screen that you see in front of you.

1 Click Export to Excel.

A dialog box asks whether you want to open or save the file.

The screenshot shows the DTCC ASSET SERVICES Corporate Actions Web interface. At the top, there is a navigation bar with links for Home, Announcements, Instructions, Allocations, Adjustments, and Contact Us. The main content area displays 'Event Search Results' with a table of search results. The table has columns for CA ID, CUSIP, Ticker, Event Security Description, Event Type, Sub Event Type, Asset Type, DTC M/V, Country of Incorporation, Event Status, Match Date, and Export. The 'Export to Excel' button is highlighted with a red box. Below the table, there is a dialog box asking 'Do you want to open or save export_file_CompositeSearch.csv from portalu.dtcc.org?' with 'Open', 'Save', and 'Cancel' buttons. The 'Open' button is highlighted with a red box.

CA ID	CUSIP	Ticker	Event Security Description	Event Type	Sub Event Type	Asset Type	DTC M/V	Country of Incorporation	Event Status	Match Date	Export
105746317	647581107	EDU	NEW ORIENTAL EDUCATION & TECHNOLOGY GROUP INC.	Special Dividend		110	M	CN	AP	Oct-06-2017	Sep
104988327	92829B101	VGI	VIRTUS GLOBAL MULTI-SECTOR INCOME FD	Cash Dividend	Opt Out (DTC only)	761	C	US	CA	Sep-11-2017	Sep
104988330	92835W107	ZTR	VIRTUS GLOBAL DIVIDEND & INCOME FUND INC	Cash Dividend	Opt Out (DTC only)	010	C	US	CA	Sep-11-2017	Sep
105259696	380672105	GPIVY	GOLD PEAK INDS HLDGS LTD	Cash Dividend		110	M	US	CA	Sep-11-2017	Sep
105277944	168615102	CHS	CHICO'S FAS INC	Cash Dividend	DRIP (DTC only)	010	C	US	CA	Sep-11-2017	Sep
105474064	902952100	GROW	U.S. GLOBAL INVESTORS	Cash Dividend		010	M	US	AP	Sep-11-2017	Sep
105486946	674599105	OXY	OCCIDENTAL PETROLEUM CORP.	Cash Dividend		010	M	US	AP	Sep-11-2017	Sep
105747471	552953101	MGM	MGM GRAND, INC.	Cash Dividend		010	M	US	AP	Sep-11-2017	Sep
105800069	296056104	ESCA	ESCALADE INC	Cash Dividend		010	M	US	AP	Sep-11-2017	Sep
105806318	41043E102	HNLGY	HANG LUNG GROUP LIMITED SPONSORED ADR +	Cash Dividend		110	M	US	CA	Sep-11-2017	Sep

Search Results page and Open/Save dialog box

2 Open the file in Excel.

A basic spreadsheet contains all of your results.

Every event in your search is represented by a row.

Every field available in CA Web is represented by a column.

3 Sort, save, or print this data as you would for any Excel spreadsheet.

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	
CA ID	CUSIP	Ticker	Event	Sct	Event Typ	Sub Event	Asset Typ	DTC	MV	Country of Origin	Event Stat	Match Date	Ex Date	Record Date	Declared	F Due Bill R	Actual Exp	DTC Instru	DTC Critic	DTC Cr
1.06E+08	647581107	EDOU	NEW ORIE	Special	Dividend		110	Mandatory	China	Approved	Oct-06-20	Sep-01-20	Sep-06-20	Oct-06-20						
1.05E+08	92829B10	VGI	VIRTUS G	Cash	Divid Opt Out (C		761	Mandatory	United	Sta	Conditiona	Sep-11-20	Sep-08-20	Sep-11-20	Sep-18-20			Sep-12-20		
1.05E+08	92835W10	ZTR	VIRTUS G	Cash	Divid Opt Out (C		10	Mandatory	United	Sta	Conditiona	Sep-11-20	Sep-08-20	Sep-11-20	Sep-18-20			Sep-13-20		
1.05E+08	380672105	GPINY	GOLD PE/	Cash	Dividend		110	Mandatory	United	Sta	Conditiona	Sep-11-20	Sep-08-20	Sep-11-20	Oct-02-20					
1.05E+08	168615102	CHS	CHICO'S	ICash	Divid DRIP (DTC		10	Mandatory	United	Sta	Conditiona	Sep-11-20	Sep-08-20	Sep-11-20	Sep-25-20			Sep-12-20		
1.05E+08	902952100	GROW	U.S. GLOF	Cash	Dividend		10	Mandatory	United	Sta	Approved	Sep-11-20	Sep-08-20	Sep-11-20	Sep-25-20					
1.05E+08	674599105	OXY	OCCIDENT	Cash	Dividend		10	Mandatory	United	Sta	Approved	Sep-11-20	Sep-08-20	Sep-11-20	Oct-16-20					
1.06E+08	552953101	MGM	MGM GRA	Cash	Dividend		10	Mandatory	United	Sta	Approved	Sep-11-20	Sep-08-20	Sep-11-20	Sep-15-20					
1.06E+08	296056104	ESCA	ESCALAD	Cash	Dividend		10	Mandatory	United	Sta	Approved	Sep-11-20	Sep-08-20	Sep-11-20	Sep-18-20					
1.06E+08	41043E10	HNLGY	HANG LUI	Cash	Dividend		110	Mandatory	United	Sta	Conditiona	Sep-11-20	Sep-08-20	Sep-11-20	Oct-10-20					
1.06E+08	41043M10	HLPYP	HANY LUN	Cash	Dividend		110	Mandatory	United	Sta	Conditiona	Sep-11-20	Sep-08-20	Sep-11-20	Oct-10-20					
1.06E+08	G5005R10	JRVVR	JAMES RI	Cash	Dividend		10	Mandatory	Bermuda	Approved	Sep-11-20	Sep-08-20	Sep-11-20	Sep-29-20						
1.06E+08	84857L101	SR	SPIRE INC	Cash	Divid DRIP (DTC		10	Mandatory	United	Sta	Conditiona	Sep-11-20	Sep-08-20	Sep-11-20	Oct-03-20			Sep-12-20		
1.06E+08	80589M10	SCG	SCANIA CI	Cash	Divid DRIP (DTC		10	Mandatory	United	Sta	Conditiona	Sep-11-20	Sep-08-20	Sep-11-20	Oct-01-20			Sep-12-20		
1.06E+08	00165C10	AMC	AMC ENTI	Cash	Dividend		10	Mandatory	United	Sta	Approved	Sep-11-20	Sep-08-20	Sep-11-20	Sep-25-20					
1.06E+08	12508E10	CDK	CDK GLOF	Cash	Dividend		10	Mandatory	United	Sta	Approved	Sep-11-20	Sep-08-20	Sep-11-20	Sep-29-20					
1.06E+08	12621E10	CNO	CNO FINA	Cash	Dividend		10	Mandatory	United	Sta	Approved	Sep-11-20	Sep-08-20	Sep-11-20	Sep-25-20					
1.06E+08	45073V10	ITT	ITT CORP	Cash	Dividend		10	Mandatory	United	Sta	Approved	Sep-11-20	Sep-08-20	Sep-11-20	Oct-02-20					
1.06E+08	600551204	MLR	MILLER IN	Cash	Dividend		10	Mandatory	United	Sta	Approved	Sep-11-20	Sep-08-20	Sep-11-20	Sep-18-20					
1.06E+08	687160101	IORRY	ORTHOCL	Cash	Dividend		110	Mandatory	Australia	Conditiona	Sep-11-20	Sep-08-20	Sep-11-20	Oct-31-20						
1.06E+08	687160101	IORRY	ORTHOCL	Cash	Dividend		110	Mandatory	Australia	Conditiona	Sep-11-20	Sep-08-20	Sep-11-20	Oct-31-20						
1.06E+08	74934Q10	RICK	RCI HOSP	Cash	Dividend		10	Mandatory	United	Sta	Approved	Sep-11-20	Sep-08-20	Sep-11-20	Sep-25-20					
1.06E+08	861025104	SYBT	STOCK YI	Cash	Dividend		10	Mandatory	United	Sta	Approved	Sep-11-20	Sep-08-20	Sep-11-20	Oct-02-20					
1.06E+08	485170203	KSUPR	KANSAS I	Cash	Dividend		20	Mandatory	United	Sta	Approved	Sep-11-20	Sep-08-20	Sep-11-20	Oct-03-20					
1.06E+08	485170302	KSU	KANSAS I	Cash	Dividend		10	Mandatory	United	Sta	Approved	Sep-11-20	Sep-08-20	Sep-11-20	Oct-04-20					
1.06E+08	31428X106	FDX	FEDEX CC	Cash	Dividend		10	Mandatory	United	Sta	Approved	Sep-11-20	Sep-08-20	Sep-11-20	Oct-02-20					
1.06E+08	33939L670	BNDC	FLEXSHAI	Cash	Dividend		117	Mandatory	United	Sta	Conditiona	Sep-11-20	Sep-08-20	Sep-11-20	Sep-14-20					
1.06E+08	523352102	LMPMY	ADR LEE	Cash	Dividend		110	Mandatory	Cayman Is	Conditiona	Sep-11-20	Sep-08-20	Sep-11-20	Oct-04-20						
1.06E+08	505743104	LADR	LADDER C	Cash	Dividend		12	Mandatory	United	Sta	Approved	Sep-11-20	Sep-08-20	Sep-11-20	Oct-02-20					
1.06E+08	842434201	SOCGM	SOUTHER	Cash	Dividend		20	Mandatory	United	Sta	Approved	Sep-10-20	Sep-07-20	Sep-10-20	Oct-15-20					
1.06E+08	842434300	SOCGP	SOUTHER	Cash	Dividend		20	Mandatory	United	Sta	Approved	Sep-10-20	Sep-07-20	Sep-10-20	Oct-15-20					
1.05E+08	G7293H18	PUKPRA	PRUDENT	Cash	Dividend		705	Mandatory	United	Kin	Approved	Sep-08-20	Sep-07-20	Sep-08-20	Sep-23-20					
1.05E+08	379378201	GNL	GLOBAL I	Cash	Dividend		12	Mandatory	United	Sta	Approved	Sep-08-20	Sep-07-20	Sep-08-20	Sep-15-20					
1.05E+08	207597705	CNLP	CONNECT	Cash	Dividend		20	Mandatory	United	Sta	Approved	Sep-08-20	Sep-07-20	Sep-08-20	Oct-01-20					
1.05E+08	207597782	CNTHO	CONNECT	Cash	Dividend		20	Mandatory	United	Sta	Approved	Sep-08-20	Sep-07-20	Sep-08-20	Oct-01-20					
1.05E+08	92647N66	VSDA	ETFVICTO	Cash	Dividend		117	Mandatory	United	Sta	Conditiona	Sep-08-20	Sep-07-20	Sep-08-20	Sep-11-20					
1.05E+08	92647N69	VSMV	ETFVICTO	Cash	Dividend		117	Mandatory	United	Sta	Conditiona	Sep-08-20	Sep-07-20	Sep-08-20	Sep-11-20					
1.05E+08	92647N76	CFA	ETFVICTO	Cash	Dividend		117	Mandatory	United	Sta	Conditiona	Sep-08-20	Sep-07-20	Sep-08-20	Sep-11-20					
1.05E+08	92647N77	CSF	ETFVICTO	Cash	Dividend		117	Mandatory	United	Sta	Conditiona	Sep-08-20	Sep-07-20	Sep-08-20	Sep-11-20					

Exported Excel spreadsheet