



**CORPORATE ACTION (CA) TEST EVENTS FOR T+2 TEST CYCLE 1 (February 13, 2017 - February 24, 2017)**

CA ID	CUSIP	Security Description	Product Type	Event Type	Announced Date	Ex Date	Record Date	Payable Date	Due Bill Off	Effective Date	DTC Instruction Expiration Date	DTC Cover/Protect Expiration Date	DTC Anticipated Pay Date	Publication Date	Cash Rate	Security Rate	DTC Contra CUSIP	Disbursed CUSIP
511808533	192422103	COGNEX	10 - Common Stock	Cash Dividend	Week of 2/6/17	2/15/2017	2/16/2017	2/22/2017	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.075	N/A	N/A	N/A
511808534	12628R107	CSB BANCORP INC (OHIO)-	10 - Common Stock	Stock Dividend	Week of 2/6/17	2/14/2017	2/15/2017	2/23/2017	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.5	N/A	12628R107
511808535	232806109	CYPRESS SEMICONDUCTOR +	10 - Common Stock	Stock Split	Week of 2/6/17	2/21/2017	2/14/2017	2/17/2017	2/22/2017	N/A	N/A	N/A	N/A	N/A	N/A	1	N/A	232806109
511808536	458665304	INTERFACE INC+	10 - Common Stock	Spin Off	Week of 2/6/17	2/22/2017	2/17/2017	2/21/2017	2/23/2017	N/A	N/A	N/A	N/A	N/A	N/A	0.25	N/A	827048109
511809846	53128T102	LIBERTY TAX, INC. CLASS A COMMON SOTCK	10 - Common Stock	Rights Distribution	Week of 2/6/17	2/16/2017	2/17/2017	2/21/2017	N/A	N/A	N/A	N/A	N/A	N/A	N/A	1	N/A	203668116
N/A**	55303J106	MGP INDGREDIENTS INC +	10 - Common Stock	Tender Offer	Week of 2/6/17	N/A	N/A	N/A	N/A	N/A	2/17/2017	2/22/2017	2/24/2017	N/A	\$ 25.00	N/A	99999X099	N/A
511808537	680194107	OLD POINT FINANCIAL CORP-	10 - Common Stock	Stock Split	Week of 2/6/17	2/22/2017	2/17/2017	2/21/2017	2/23/2017	N/A	N/A	N/A	N/A	N/A	N/A	2	N/A	680194107
511808538	704699107	PEAPACK GLADSTONE FINANCIAL CORP	10 - Common Stock	Cash Dividend	Week of 2/6/17	2/22/2017	2/17/2017	2/21/2017	2/23/2017	N/A	N/A	N/A	N/A	N/A	\$ 1.30	N/A	N/A	N/A
N/A	896095106	TRICO BANCSHARES +	10 - Common Stock	Reverse Split	Week of 2/6/17	N/A	N/A	N/A	N/A	2/17/2017	N/A	N/A	2/21/2017	N/A	N/A	3	N/A	896095304
N/A	43708L108	HOME FED BANCORP INC L	10 - Common Stock	Cash Merger	Week of 2/6/17	N/A	N/A	N/A	N/A	2/22/2017	N/A	N/A	2/23/2017	N/A	\$ 17.25	N/A	N/A	N/A
N/A	989817101	ZUMIEZ INC.	10 - Common Stock	Exchange Offer	Week of 2/6/17	N/A	N/A	N/A	N/A	N/A	2/21/2017	2/23/2017	2/24/2017	N/A	N/A	\$ 2.00	99999Y266	04014H108
511808539	706327103	PEMBINA PIPELINE CORPORATION F	10 - Common Stock	Cash Dividend	Week of 2/6/17	2/21/2017	2/22/2017	2/24/2017	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.55	N/A	N/A	N/A
511808540	923725105	VERMILION ENERGY INC F	10 - Common Stock	Cash Dividend	Week of 2/6/17	2/14/2017	2/15/2017	2/23/2017	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.47	N/A	N/A	N/A
511808541	318672607	PFD FIRST BANCORP P R RR	20 - Preferred Stock	Cash Dividend	Week of 2/6/17	2/21/2017	2/14/2017	2/17/2017	2/22/2017	N/A	N/A	N/A	N/A	N/A	\$ 12.67	N/A	N/A	N/A
511808542	376536207	PFD GLADSTONE COMM SRA	22 - Preferred Stock - REIT	Cash Dividend	Week of 2/6/17	2/16/2017	2/17/2017	2/23/2017	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.33678	N/A	N/A	N/A
N/A	72200U209	\$ARP PIMCO CORPORATE	102 - Auction Rate Preferred Stock - ARPS	Cash Dividend	Week of 2/6/17	2/14/2017	2/15/2017	2/22/2017	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.874	N/A	N/A	N/A
511808543	59160R102	ADR METRO AG F	110 - American Depositary Receipt	Optional Dividend	Week of 2/6/17	2/13/2017	2/14/2017	2/23/2017	N/A	N/A	2/21/2017	N/A	N/A	N/A	\$ 0.15	\$ 0.20	N/A	59160R102
511808545	108441205	BRIDGESTONECORPADR**+	110 - American Depositary Receipt	Cash Dividend	Week of 2/6/17	2/14/2017	2/15/2017	2/21/2017	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.2366	N/A	N/A	N/A
511808546	47103U100	ETF JANUS DETROIT STR BE<	117 - Exchange Traded Funds	Cash Dividend	Week of 2/6/17	2/16/2017	2/17/2017	2/22/2017	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.89	N/A	N/A	N/A
511808547	49151EP64	KY COMMWLTH REF RV KY D05/14/08 4.500% MN18 BE	310 - Municipal Bond	Interest	Week of 2/6/17	N/A	2/14/2017	2/24/2017	2/23/2017	N/A	N/A	N/A	N/A	N/A	\$ 22.50	N/A	N/A	N/A
The CA ID will be available on 2/16/17	64966L41	SVRDO NEW YORK N Y NY D06/18/15 00.010% 44 BE	314 - Municipal Variable Rate Demand Obligation (VRDO)	Partial Call	Week of 2/6/17	N/A	N/A	2/22/2017	N/A	N/A	N/A	N/A	N/A	2/16/2017	\$ 1,000.00	N/A	N/A	N/A
N/A	494550AY2	CB KINDER MORGAN ENRGY D02/12/08 5.950% FA18	500 - Corporate Bond (CB) or Global Corporate Bond (GCB)	Tender Offer	Week of 2/6/17	N/A	N/A	N/A	N/A	N/A	2/21/2017	2/23/2017	2/24/2017	N/A	\$ 1,050.00	N/A	494550999	N/A
511808555	06740AG29	CD BARCLAYS BK DEL WIL D12/30/13 0.010% D 20	507 - Certificates of Deposit	Interest	Week of 2/6/17	N/A	2/14/2017	2/24/2017	2/23/2017	N/A	N/A	N/A	N/A	N/A	\$ 63.00	N/A	N/A	N/A
511808548	05952AAE4	GCMO BOACM 2008-1 D06/01/08 0.010% JJ51	540 - Collateralized Mtge Obligation or Global CMO	Principal	Week of 2/6/17	N/A	2/15/2017	2/22/2017	N/A	N/A	N/A	N/A	N/A	N/A	\$ 4.538541	N/A	N/A	N/A
511808549	05952AAE4	GCMO BOACM 2008-1 D06/01/08 0.010% JJ51	540 - Collateralized Mtge Obligation or Global CMO	Interest	Week of 2/6/17	N/A	2/15/2017	2/22/2017	N/A	N/A	N/A	N/A	N/A	N/A	\$ 3.514456	N/A	N/A	N/A
511808550	14041NBL4	GABS CAPITAL ONE 04-3 D04/14/04 0.010% JJ22	541 - Asset-Backed Security (ABS) or Global ABS (GABS)	Interest	Week of 2/6/17	N/A	2/14/2017	2/23/2017	2/22/2017	N/A	N/A	N/A	N/A	N/A	\$ 1.018673	N/A	N/A	N/A
511809845	83162CLK7	GOV'T SBA DEV 01-20D D04/11/01 6.350% AO21	542 - Non-CMO/ABS Amortizing Issue	Final Paydown	Week of 2/6/17	N/A	N/A	2/22/2017	N/A	N/A	N/A	N/A	N/A	N/A	\$ 182.5543	N/A	N/A	N/A
511808551	88160RAB7	GCB TESLA MTRS INC D03/05/14 00.250% MS19	572 - Convertible Corporate Debt	Interest	Week of 2/6/17	N/A	2/14/2017	2/24/2017	2/23/2017	N/A	N/A	N/A	N/A	N/A	\$ 1.25	N/A	N/A	N/A
511808552	29364P202	ENTERGY NEW ORLEANS I NC 4.36% PFD +	704 - Corporate Debt Derivative	Interest	Week of 2/6/17	2/14/2017	2/15/2017	2/22/2017	N/A	N/A	N/A	N/A	N/A	N/A	\$ 1.09	N/A	N/A	N/A
511808553	74272L208	PRIVATEBANCORP CAP TR	705 - Equity Derivative	Cash Dividend	Week of 2/6/17	2/13/2017	2/14/2017	2/23/2017	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.625	N/A	N/A	N/A
N/A	203668116	RTS COMMUNITY H BE+	730 - Right	Rights Subscription*****	Week of 2/6/17	N/A	N/A	N/A	N/A	N/A	2/17/2017	2/22/2017	N/A	N/A	See RIPS event for subscription details	See RIPS event for subscription details	N/A	203668108
N/A	74348T102	PROSPECT CAPITAL CORPORATION RR	761 - Closed End Fund	Tender Offer	Week of 2/6/17	N/A	N/A	N/A	N/A	N/A	2/17/2017	2/22/2017	2/24/2017	N/A	\$ 47.89	N/A	99999Y803	N/A
511808554	670768103	UIT NUVEEN UNIT INVT BE+	790 - Unit Investment Trust (Nuveen Only)	Cash Dividend	Week of 2/6/17	N/A	2/15/2017	2/23/2017	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.0201	N/A	N/A	N/A
N/A	40169N599	UIT GUGGENHEIM DEFINE BE+	795 - Unit Investment Trust	IVORS*****	Week of 2/6/17	N/A	N/A	N/A	N/A	N/A	2/15/2017	N/A	N/A	N/A	See IVIQ/IVOR for details	See IVIQ/IVOR for details	N/A	See IVIQ/IVOR for details

**Important Considerations:**

- When a field is marked N/A it will usually not be applicable for this event with the exception being where Elective Dividend Services apply in certain cases such as Tax Relief and DRIP. Participants may apply instructions in these cases but it is not mandatory that they do so.
- \* The CA ID will be populated and available when the announcement has been generated in DTCC's Corporate Actions systems.
- \*\* Participants testing the Voluntary and Mandatory Reorganizations events are part of the T2 Industry Test should refer to the Participant Terminal System (PTS) RIPS and Participant Browser System (PBS) Reorganizations and Redemptions functions for event level information.
- \*\*\* The DTC Contra CUSIP will be available when the event has been created in DTCC's Corporate Actions systems
- \*\*\*\* The DTC Disbursed CUSIP will be available when the event has been created in DTCC's Corporate Actions systems
- \*\*\*\*\* Participants testing Rights Subscriptions in the PTS PSOP and PBS Rights Subscriptions functions should refer to the event details in PTS/PBS for subscription level information
- \*\*\*\*\* Participants testing IVORS should refer to the redemption/rollover details in PTS/PBS Instructions Submission and Inquiries functions for further details